



Agenda

Notice of a public meeting of **Care and Independence Overview
and Scrutiny Committee**

To: **Councillors Karin Sedgwick (Chair), Helen Grant
(Deputy Chair), Eric Broadbent, Mike Chambers,
John Ennis, Caroline Goodrick, David Jeffels,
Andrew Jenkinson, Stanley Lumley, Roberta Swiers,
John Mann, Robert Windass and Cliff Trotter.
Co-opted Members: Jillian Quinn and Mike Padgham.**

Date: **Thursday, 2nd December, 2021**

Time: **10.00 am**

Venue: **Remote Meeting held via Microsoft Teams**

Under his delegated decision making powers in the Officers' Delegation Scheme in the Council's Constitution, the Chief Executive Officer has power, in cases of emergency, to take any decision which could be taken by the Council, the Executive or a committee. Following on from the expiry of the Local Authorities and Police and Crime Panels (Coronavirus) (Flexibility of Local Authority and Police and Crime Panel Meetings) (England and Wales) Regulations 2020, which allowed for committee meetings to be held remotely, the County Council resolved at its meeting on 5 May 2021 that, for the present time, in light of the continuing Covid-19 pandemic circumstances, remote live-broadcast committee meetings should continue, with any formal decisions required being taken by the Chief Executive Officer under his emergency decision making powers and after consultation with other Officers and Members as appropriate and after taking into account any views of the relevant Committee Members. This approach was reviewed by full Council at its November meeting and will be subject to a further review at the County Council Meeting in February.

The meeting will be available to view once the meeting commences, via the following link - www.northyorks.gov.uk/livemeetings

Recordings of previous live broadcast meetings are also available there.

Business

- 1. Welcome and Introductions**
- 2. Minutes of the meeting held on Monday 20 September 2021** **(Pages 3 - 6)**
- 3. Any Declarations of Interest**

Enquiries relating to this agenda please contact Ray Busby Tel:
or e-mail ray.busby@northyorks.gov.uk
Website: www.northyorks.gov.uk

4. Public Questions or Statements

Members of the public may ask questions or make statements at this meeting if they have delivered notice (to include the text of the question/statement) to Ray Busby of Policy & Partnerships (contact details below) no later than midday on Monday 29 November 2021. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

**5. Chairman's remarks - Any correspondence, communication or other business brought forward by the direction of the Chairman of the Committee.
(FOR INFORMATION ONLY)**

6. Portfolio Statement - Workforce matters in the local Social Care Market

Verbal overview by County Councillor Michael Harrison, Executive Member for Health and Adult Services, including health and wellbeing board, health integration, extra care

7. Director of Public Health Overview and Update

A verbal update by Louise Wallace, Director of Public Health

8. HAS Finance Update (Pages 7 - 14)

Report of the Assistant Director - Strategic Resources, Central Services highlighting the current financial position facing HAS as at September 2021, summarising the changes being proposed by government regarding charging for social care and also providing some feedback on the changes introduced by NYCC in the last two years.

9. Annual Report of the North Yorkshire Safeguarding Adults Board (NYSAB) 2020-21 (Pages 15 - 38)

Professor Sue Proctor, Chair of the Adults Safeguarding Board will introduce the Annual Report of the North Yorkshire Safeguarding Adults Board (NYSAB) for the financial year 2020-21, and outline the future areas for development by the Board.

10. Work Programme (Pages 39 - 42)

Report of the Scrutiny Team Leader

11. Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances.

Barry Khan
Assistant Chief Executive
(Legal and Democratic Services)

County Hall
Northallerton

Wednesday, 24 November 2021

North Yorkshire County Council

Care and Independence Overview and Scrutiny Committee

Minutes of the virtual meeting held on 20 September 2021 at 10am.

Present:-

County Councillor Karin Sedgwick in the Chair.

County Councillors: Eric Broadbent, Mike Chambers MBE, Caroline Goodrick Helen Grant, Stanley Lumley, John Mann, Roberta Swiers and Cliff Trotter.

Co-opted Member Jill Quinn (Dementia Forward)

In attendance:

County Councillors Andrew Lee (Executive Member for Adult Social Care) and Caroline Dickinson (Older Peoples Champion)

Officers: Ray Busby (Principal Scrutiny Support Officer),

Apologies:

County Councillors John Ennis, David Jeffels, Andrew Jenkinson and Robert Windass.
Mike Padgham (Co-opted Member - Independent Care Group)

Copies of all documents considered are in the Minute Book

255. Minutes

Resolved –

That the Minutes of the meeting held on 29 July 2021 having been printed and circulated, be taken as read and be confirmed and signed by the Chairman as a correct record.

256. Declarations of Interest

There were no declarations of interest to note.

257. Public Questions or Statements

The committee was advised that no notice had been received of any public questions or statements to be made at the meeting.

258. Chairman's Remarks

The Chairman welcomed County Councillor Caroline Dickinson to the meeting and congratulated her on her appointment as the new Older Peoples Champion.

The Chairman then updated members on changes to the work programme she had agreed to.

Since the last meeting, the authority has had a peer challenge of services during the Covid pandemic. The positive feedback, for the Living Well service in particular – an area considered by the committee at the last meeting – was most welcome.

259. Suicide Prevention Update

Considered

Presentation by Claire Robinson (Health Improvement Manager - Suicide Prevention Lead for North Yorkshire) providing an update on data around Covid, with a particular focus on key priorities and including some examples of recent initiatives.

Progress against all priorities remains strong. Members found it especially pleasing that our awareness campaigns and initiatives are helping reduce the stigma associated with suicide, enabling people to talk about suicidal thoughts and emotional distress and increase their help-seeking behaviours.

These initiatives start long before people end up in crisis, to minimise the distress that people experience before they get effective help.

The Chair hoped that the committee could be updated at some point on the Reach project.

John Mann asked:

- if comparative information for the North Yorkshire area was available on the figures for annual suicides in North Yorkshire compare to 30 or 40 years ago. Claire advised that the changes in the way information is recorded, especially by coroners, rendered making comparisons problematic.
- In terms of suicide by District, and the information presented for Harrogate in particular, was it possible to adjust by population. This could be made available to members separately, broken down by district; it is true that North Yorkshire suicide rates per 1000k population are higher than the national average, especially male deaths.

Cllr Helen Grant raised the issue of force veterans. It was confirmed that MoD figures were included in the data presented.

Cllr Grant highlighted self-harming within young people and how this was being tackled. Claire advised guidance was being made available for inclusion in school policy statements. Pat Sowa was also working directly with schools as part of training initiatives. In addition, a new pathway had just been launched.

Cllr Goodrick expressed her concern about suicide prevalence in rural areas and especially in the farming community. Claire confirmed that linkages and multi agency partnership working had been forged with the National Farmers Union. Free training has been offered.

Cllr Plant highlighted the pressure associated with social media and online abuse. Claire acknowledged this “hidden harm”. She could pull out from the data incidences where it is known and stipulated that social media influence was apparent in cases. Cllr Goodrick believed this to be an interesting and important area for debate - the extent to which social media can be an unsettling influence. Perhaps the coroner could enquire, as part of the process, to help bring about an improved

understanding of just how impactful it was. Claire responded that analysis of cases did show that investigations cover social media evidence. However, she would enquire whether the coroner followed this line of enquiry on every occasion rather than, for example, where it had been identified in individual cases as a prior, known factor.

Jill Quinn said that her organisation were talking many more helpline calls during the pandemic from older people expressing low level anxiety, desperation and depression. Claire mentioned the "Assist" training, aimed at staff at just this level of an experience and public contact, which could be accessed by organisations to develop understanding of how best to support people who raise this level of anxiety.

The Chair reminded members that on Wednesday 10th November 2021 public health colleagues will provide members (at the seminar) with information on support for people bereaved by suicide and provide a mental health training taster session. Pat Sowa a HeadFirst trainer, champion for suicide prevention and a mother who lost her son Dom to Suicide in 2017, will deliver this - supported by key officers.

Resolved –

- a) That the report be noted
- b) That a report on progress in relation to the "Reach" and "Just B" project be made to the committee, ideally in six months time

260. Corporate Director of Health and Adult Services Overview

Considered –

Presentation by Richard Webb providing an overview of all issues affecting the Health and Adult Services directorate, mainly focussing on:

- Covid 19 response
- Adult Social Care - Current situation
- Winter 2021/222
- National Policy
- HAS work programmes and priorities

In response to a question from Cllr Caroline Goodrick about vaccine hesitancy, Richard agreed to direct members towards where we publish tips (for staff) about how to manage conversations with people reluctant to come forward for the vaccine. Jill Quinn hoped that formal communication to the voluntary sector could include information of this nature.

Member again expressed their general anxieties and concerns about the funding for social care.

Resolved –

That the report be noted.

261. Work Programme

Considered –

The report of the Scrutiny Team Leader on the Work Programme.

The Chair referred to the importance and success of Social Proscribing.

Ray Busby explained that the agenda for the next meeting included a larger than normal number of items

- HAS Financial Pressures.
- Charging for Social Care - Overview.
- Director of Public Health Annual Report.
- Annual North Yorkshire Safeguarding Adults Board Report.
- Local Account.

Members asked that, wherever possible, items be rescheduled to even out the business carried out at each meeting.

Members raised their continuing concerns about staffing levels in the care sector and recruitment pressures generally. An update to members – possibly by the portfolio holder - would be helpful. Moving forward the committee might also benefit by having access to the data we collect on a countywide basis on such issues.

Resolved -

That the work programme be agreed.

The meeting finished at 12.05pm

NORTH YORKSHIRE COUNTY COUNCIL

CARE AND INDEPENDENCE OVERVIEW AND SCRUTINY COMMITTEE

2 DECEMBER 2021

HAS FINANCE UPDATE

1.0 Purpose of Report

- 1.1 This paper highlights the current financial position facing HAS as at September 2021, describes the impact COVID-19 costs are having on the in-year position and also describes the management action that is being taken in response to ongoing pressures. The paper gives a summary of the changes being proposed by government regarding charging for social care and also some feedback on the changes introduced by NYCC in the last two years.

2.0 HAS Financial Pressures

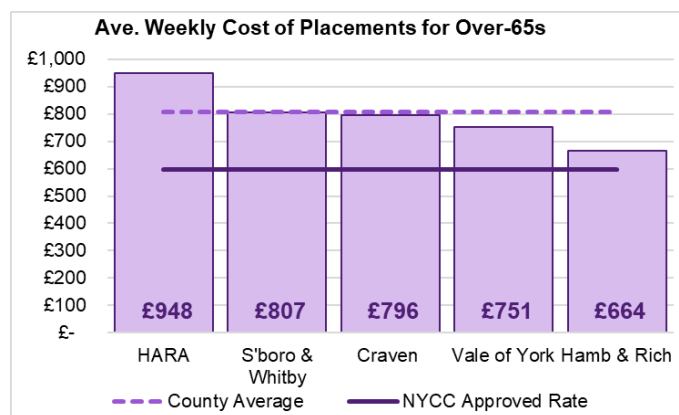
- 2.1 The latest figures for the Health and Adult Services Directorate shows that a break-even position is reported but this is only possible due to £7.1m of growth allocated to the Directorate in 2021/22, plus the use of £1.3m of Improved Better Care Funding (IBCF). The HAS budget includes Adult Social Care, Public Health and some whole directorate costs.
- 2.2 These figures reflect COVID-19 related budget pressures of £3.2m, more than half of which is made up of payments to support providers. Other cost pressures as a result of the pandemic including increased staffing costs, loss of income, increased equipment costs and delays in the achievement of planned savings. As seen in the Quarterly Performance Report, activity levels are starting to return to (and exceed) pre-pandemic levels, and this is reflected in the finance figures.
- 2.3 The Directorate instituted a financial recovery plan during 2019/20, as reported to the Committee last year, and despite the pressures caused by COVID, work continues to ensure that we manage costs down as much as is possible.
- 2.4 Indeed, the need for sound financial management and reporting has been magnified due to additional and temporary funding allocated (but all of it with strings) to the Council to support the costs of COVID. This includes a number of specific grants to for the Council and also for passporting to external care providers. These include Infection Prevention Control, Rapid Testing and Contain Outbreak Management funding (COMF). The Council has received a further £5.0m in such COVID-19 funding during Q2, taking the total to £15.7m for 21/22, which has been taken into account in the forecast outturn position.
- 2.5 There are a number of underlying issues to be aware of which continue to add budgetary pressures to the service.

2.6 Demography: Population projections show that the number of North Yorkshire households with someone over the age of 85 is set to increase by 155% by 2039 and the number of younger adults who require support has increased in recent years from 5,189 to 5,503.

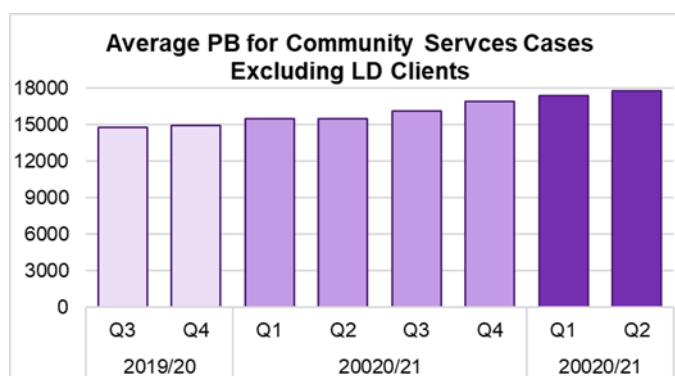
2.7 Hospital Discharge: The Covid-driven policy of taking people into social care before assessments are undertaken will continue. Earlier this year, the Council was funded for the first six weeks of this care whilst the social care assessment was completed. After that the cost responsibility becomes the Council's, although of course in many cases, people make some contribution to the cost of care. In July, that period of funding was reduced to four weeks and therefore the Council is currently picking up the costs of any time after that. Any personal contributions from people cannot start until the social care assessment has been done. From April 2022, it is expected that this funding will cease and if that is the case, additional net costs will fall to the council.

2.8 Market Costs: We continue to see increased market pressures:

- The average cost of a placement for the 65+ age group in September 2021 is £806 which is an increase of £51 or 6.8% year on year. All locality average costs continue to be above the approved rate. The cost in Harrogate is 18% (£142) per week higher. The disparity across the county can be seen in the graph below



- The market rates variability across the county is due to availability and demand. Where there is low demand and high availability, market rates are often lower. This is a particular issue in Harrogate where although the NYCC rate has increased by 16% since 2017, actual market rates have risen by 59%.
- The average Personal Budget for non-LD cases was £17.7k at the end of September, an increase of £0.4K against the average PB for Q1 (see below). This represents a 15.8% increase year on year (£2,424), compared with a 12.9% increase (£1,988) reported in Q1.



- 2.9 Other Market pressures: We have seen a 29% increase in referral workload for community teams as a direct result of the new discharge pathways mentioned above, in addition to 119% increase in assessments due to Discharge to Assess. This has had an impact on our capacity and means that we are having to spend more money on workforce.
- 2.10 An analysis of community teams' capacity suggests a requirement of 179 FTE against current establishment of 157FTE (although we currently have a vacancy rate of 11% to 26%).
- 2.11 However this increase in referrals is not the only reason for workforce pressure, as provider failure is having a significant impact across all localities. Since September we have lost 104 beds of capacity in the residential and nursing sector and 600 hours of care into specialist complex LD supported living services.
- 2.12 As reported to the County Council meeting in November 2021, packages of care are being handed back to the Council to either re-resource or find alternative solutions to keep people safe. This is putting significant pressure on and impacting our in-house provision as we try to find solutions for people or fill the gaps using staff from our services. This affects our ability to provide reablement and respite services. Complex care packages are being handed back at short notice alongside those requiring two carers or in more rural locations. In addition we are seeing care home providers withdraw from providing nursing care or withdraw completely from the market. All of this has a financial consequence.

Public Health

- 2.13 Public Health has a gross budget of £23.4m but is balanced to a net zero in the Council's Quarterly monitoring reports. The Public Health grant has reduced in real terms in recent years and is currently £22.3m. The difference is being funded from reserves. This is a planned use of the earmarked reserve which was built up in previous years. Nevertheless it means that current spend will have to be reduced by at least £1.1m to be in line with the grant – and more if further savings are required.
- 2.14 The latest figures show that as activity has reduced, so have costs, leading to a projected underspend on original budget of £1m. A plan is being finalised which will enable the spend to be brought in line.

3.0 Managing the Pressures

- 3.1 As reported to previous meetings of the Committee, the Directorate has an action plan which aims to reduce the financial pressures in Care and Support, while continuing to look for other savings to support the Council's overall budget position.
- 3.2 In addition to the market pressures referenced above, residential and nursing occupancy rates have reduced overall during the pandemic, however some areas of the county are seeing high occupancy rates particularly for nursing care.
- 3.3 A range of national and local support mechanisms have been in place since early in the pandemic to reduce the financial impact of the pandemic on the care market and, where possible, prevent provider failure. This includes support from central government, including:
- Infection Prevention Control funding;
 - A national Personal Protective Equipment portal; and,
 - The block purchasing of discharge beds.
- 3.4 At a more local level, the county council has implemented:
- Compensatory payments;
 - Supplier relief and hardship processes;
 - Payment on planned activity; and,
 - Payments in advance for the annual inflation settlement
- 3.5 The Strategic Market Development Board is in place to address the wide range of challenges in the social care market, and to provide a strategic focus on the implementation of solutions.
- 3.6 The Board has a multi-agency membership, and has set key priorities for its development work. One of the key areas of work relates to the £167 Million spent each year via three approved provider lists (APLs), covering care homes and extra care, day services, and domiciliary care.
- 3.7 The process has included a workshop for the System Leadership Executive, involving people from a range of organisations, including local authorities, CCGs, NHS provider trusts, the Independent Care group and voluntary sector organisations.
- 3.8 Output from the workshop is helping to shape the service specification and procurement documents, scheduled for completion at the end of November 2021. This will be followed by the issuing of invitations to tender in January 2022, and it is anticipated that new contracts will start from July 2022.
- 3.9 The procurement will also take into account the extensive Actual Cost of Care work which has been carried out in the past two years. This will aim to ensure that providers have sustainable funding going forward while also seeking to limit where costs have exceeded council rates.

3.10 In addition to this, the following areas of work have are being undertaken or are in place to assist management of the budget:

- Revised Scheme of Delegation
- Budget Management Skills
- Improved Forecasting and other business processes
- Improved data monitoring and budget tracking
- Development of a budget performance and activity dashboard
- Practice Review meetings
- Introduction of training materials
- Professional Reasoning checklist
- Closer scrutiny of adult social care activity, practice and performance
- Clear exit strategies for temporary funding and projects
- Ensuring the correct split of costs between NYCC and NHS (especially Continuing Health Care) and people who use our services

4.0 Future Funding Issues

4.1 Our areas of concern regarding the future of Adult Social Care funding remain and we continue to work with organisations such as the Association of Directors of Adult Social Services, the Society of County Treasurers and the County Councils Network to lobby central government for a fairer funding settlement in this respect.

4.2 In all of these discussions, our message has been that in future any funding settlement must be comprehensive, enduring and fair settlement for social care. It should also be less complex than the current system which is a mixture of one-off and recurrent funding, ring-fenced and non-ringfenced grants, local ability to raise additional Council Tax and contributions from service users.

4.3 We have also said that there needs to be a review of the funding allocations formula, with Adult Social Care funding based on ageing and disabled population and Public Health Grant funding based on indices of multiple deprivation.

4.4 Consideration should be given of additional cost pressures facing local government and the NHS in remote rural and coastal communities. Any funding formula should take into account the different costs of delivery incurred by geography and supply, for example higher transport costs and an older population. We also endorse the LGA and PHE report from 2017 (<https://www.local.gov.uk/health-and-wellbeing-rural-areas>) which notes, amongst other conclusions, that:

- Both sparsity and rurality appear to affect poverty levels and consequently the health of people in rural areas. Sparse areas on the fringes of towns and urban settlements have the highest proportions of poor households, although no area type is poverty free.
- Changing population patterns, including outward migration of young people and inward migration of older people, are leading to a rural population that is increasingly older than the urban population, with accompanying health and care needs.

- Sparsity and the increasing scarcity of public transport links have a significant impact both on daily living costs of rural households and on access to services.
- Rural areas have worse access in terms of distance to health, public health and care services. Longer distances to GPs, dentists, hospitals and other health facilities mean that rural residents can experience 'distance decay' where service use decreases with increasing distance. Different models of service delivery may be needed for rural areas, including new models of workforce development. These also include the development of rural hubs providing a range of services, and more services provided on and through the internet.

5.0 Charging for Social Care

- 5.1 In the past, and as part of our response to the discussion on the funding of social care, we have also advised that we need to review and decide what is the responsibility and resulting costs of the state and what we agree should fall on individuals and families. In this we need to reflect on charges to people and revisit means test and needs test thresholds. We should be cautious about the unintended consequences of including people's homes in financial assessments for home care.
- 5.2 The government has now published proposals that seek to address this last issue. This will mean that anyone with assets of less than £20,000 will not have to pay anything towards the cost of care either at home or in residential care from October 2023. People with more than £100,000 in assets will pay all such costs until they reach a maximum of £86,000. Those with assets of £100,000 or less will pay a means-tested proportion towards their care costs, again until they reach a maximum of £86,000.
- 5.3 Although details and costs are yet to be worked through for North Yorkshire, the proposals could present further costs and capacity issues to the council as the number of assessments increases and self-funders move to being at least partially publicly-funded, and this could have an impact on providers' resources. The intention is that direct costs for this will be funded through a new National Health and Social Care Levy but the level of this is not certain and there is no guarantee that costs such as those caused by additional assessments will be covered.
- 5.4 The Committee has previously received a presentation on charging in social care and it is suggested that once we have more information regarding the national changes that we bring this back to the committee for a full update.
- 5.5 Members will also be aware of the changes which took place in North Yorkshire in recent years regarding double carers and transport costs.
- 5.6 This meant that, following a public consultation and decision by the Council, the charges for transport were increased to £7.50 per journey for all users but that this was only fully implemented from 1 April 2021. During the period Sept 2019 – April 2021 these charges would be set £5 per journey. During this first period a maximum payment would be £30 per week and then increase to £40 per week from 1 April 2021.

- 5.7 It was also agreed that monitoring of the impact of these changes was undertaken both on those using the service and on other council services and budgets.
- 5.8 Secondly it was agreed that in future the full cost of care would be taken into account when charging people who use services. For new users this would come into place from 1 June 2019 and for those currently using the service from September 2019.
- 5.9 The Transport changes are now in place. However an analysis of the impact of these is difficult as the Coronavirus pandemic has impacted on many of the services where transport is required. Indeed in many cases, transport has been cancelled. Usage has now begun to pick up but is not yet at full capacity, however no major concerns have been raised as a result of the increases.
- 5.10 On the full cost of care, the main impact would be felt by people who had two carers and where, even if they were full cost-payers, the cost of the second carer was not included in the charge. The service ensured that all people affected were given the opportunity to discuss the change and there have been no issues reported as a result of this change in practice.

6.0 Recommendations

- 6.1 Overview and Scrutiny Committee is asked to note the contents of the report.

RICHARD WEBB
Corporate Director, Health and
Adult Services

Report Prepared by Anton Hodge, Assistant Director – Strategic Resources

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North Yorkshire Safeguarding Adults Board

NORTH YORKSHIRE SAFEGUARDING ADULTS BOARD ANNUAL REPORT 2020-21

CARE AND INDEPENDENCE OVERVIEW AND SCRUTINY COMMITTEE

2 December 2021

Summary:

1. This report introduces the Annual Report of the North Yorkshire Safeguarding Adults Board (NYSAB) for the financial year 2020-21, and outlines the future areas for development by the Board.

The Annual Report is available on our website: <https://safeguardingadults.co.uk/annual-reports>

Background

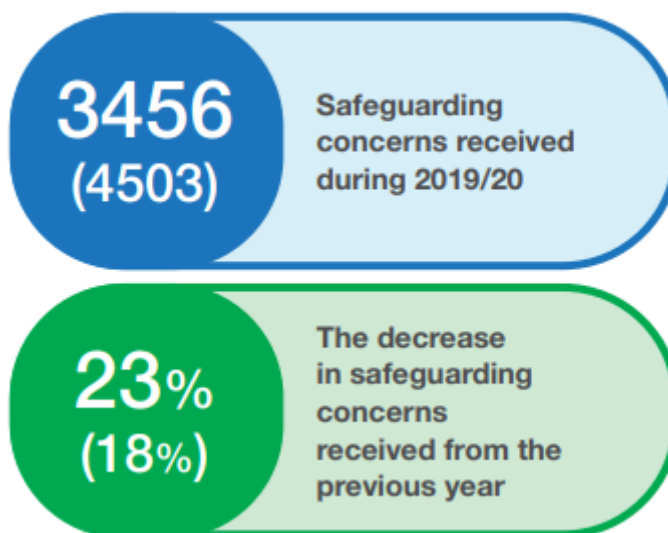
2. The Care Act (2014) requires local authorities to set up a Safeguarding Adults Board (SAB) The Act identifies that the Board must
 - include the local authority, the NHS and the police, who should meet regularly to discuss and act upon local safeguarding issues;
 - develop shared strategic plans for safeguarding, working with local people to decide how to protect adults with care and support needs in vulnerable situations;
 - publish a strategic plan and report to the public annually on its progress, so that constituent Partnership organisations can ensure that they are working together in the best way.

2020-21– Key Messages

3. Unsurprisingly the majority of the Board's work and that of its partner agencies over the 2020-21 period has focused on the response to the Covid pandemic. Whilst we appreciate the pressures the pandemic placed on our services, we still have a duty to safeguard adults across North Yorkshire. Therefore, the Board sought assurance from partner agencies via their statements as to what they did to keep adults safe during the pandemic. The statements from statutory partners can be found in the bogy of the annual report and responses from non-statutory partners are available on our website; the link to which is in our report.
4. We continue to work jointly with our partners within the North Yorkshire Safeguarding Children's Partnership (NYSCP) and the Community Safety Partnership (CSP) to work on themes that are relevant to all three Boards/Partnerships such as Modern Slavery & Human Trafficking, County Lines, Suicide Awareness and Domestic Abuse to have a joined up approach and message to raise awareness.
5. As part of our collaborative working with the NYSCP and CSP we have developed a joint engagement and communication strategy to outline how we want to engage and communicate with people across North Yorkshire. We hope that by having a joint approach across the Board and Partnerships that the whole community will understand what abuse, exploitation, harm and

neglect look like as well as the roles they play in keeping people safe and promoting welfare. **Safeguarding is everybody's business.** The strategy along with its accompanying supplementary guide can be found here: <https://safeguardingadults.co.uk/engagement-and-communication>

6. We conducted a safeguarding adult review (SAR) in relation to 'Anne' and published the full report in February 2021. The report sets out 10 recommendations to the individual agencies involved and the NYSAB as a whole, all of which are accepted by the NYSAB in full and work is now underway to implement these recommendations. The full report can be found here: <https://safeguardingadults.co.uk/SAR-Anne>
7. During 2020-21, the North Yorkshire Safeguarding Adults Board has overseen the completion of two action plans. The first in relation to the lessons learned review for 'Mrs S' and the second for the safeguarding adult review in relation to 'Ian' Delivery reports for both are available here: <https://safeguardingadults.co.uk/nysab-learning> The reports explain changes made in response to these reviews including the introduction of new multi-agency policies for complaint management, improving Mental Capacity Act 2005 practice, and sharing information with other agencies.
8. We have commissioned a safeguarding adult review which we are undertaking with the North Yorkshire Safeguarding Children Partnership. The SAR will look specifically at the transition period from children's to adult services, mental health involvement as well as the impact of adverse childhood experiences, self-harm and substance misuse. The report will be published in early 2022 and the findings will be included in the Annual Report for 2021-22
9. There was a reduction in the number of safeguarding concerns raised during 2020-21.



10. In keeping with Making Safeguarding Personal, 70% of people who expressed an outcome of the safeguarding process had their outcome fully met. This is 3% above the national average which is 67% in England.
11. We built on our existing joint working with safeguarding and community safety colleagues in North Yorkshire County Council and City of York Council through delivery of Safeguarding

Week which took place in June 2020. Due to the pandemic the campaign took place virtually and we made use of online platforms to raise awareness in relation to key areas such as domestic abuse, keeping safe online and mental health. We also used the campaign to assure people that despite changes to service delivery across health and social care, safeguarding concerns should still be reported.

Strategic Priorities for 2021-2023

12. The strategic priorities are based on the six key principles outlined in the Care Act. The priorities detail how the Board wants to reflect these principles so that they can be applied locally.

The aims and objectives are set out in full within the annual report and are summarised below.

- Reconnect with communities in North Yorkshire to raise awareness and develop strategies to address and reduce risk of abuse
- Ensure multi-agency safeguarding policies and procedures are in line with best practice and meet the needs of older people and younger vulnerable people – now and in the future.
- Ensure a stronger partnership approach and accountability for the prevention of abuse.
- Ensure NYSAB is able to effectively adapt and respond to wider contextual changes affecting adult safeguarding.

13. These key themes for 2021 - 23 were agreed by the Board in June 2021. As per our statutory duty, we have since been published our strategic priorities on our website at <https://safeguardingadults.co.uk/strategic-priorities>

Dr Sue Proctor
Independent Chair, North Yorkshire SAB

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North Yorkshire
Safeguarding Adults Board

North Yorkshire Safeguarding Adults Board

**Annual Report
2020/2021**



*Making safeguarding everybody's
business in North Yorkshire*





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Message from the Chair

I am very pleased to welcome you to the Annual Report for the North Yorkshire Safeguarding Adults Board (NYSAB) for 2020/21

As ever, I want to take the opportunity afforded by the annual report to extend my personal thanks to all our partners who have supported the work of the Board throughout this extraordinary year.

Thanks are also due to those colleagues who attend and support the work of the Local Safeguarding Partnerships (LSPs) and the subgroups. Without their commitment there would be little chance of addressing the wide ranging and complex safeguarding issues that involve adults at risk.

There is more information on their work in the body of the report.

It will come as no surprise to those reading this report that the work of the Board and our partners has been largely centred around the response to the Covid-19 pandemic.

As we published our annual report last year the pandemic had only just started to impact on our lives and little did we know what was to come.

Over the past 12 months the country has endured an extraordinary time, and one that has left many people feeling more vulnerable and isolated than ever. As a safeguarding adults board it is our responsibility to ensure that those who are most at risk across North Yorkshire are protected from harm, abuse and neglect and that they are supported through these trying times.

I want to give assurance that the NYSAB, both as a whole, and, through individual agencies, has continued to work hard to keep people safe whether this be in health services, social care, emergency services, care settings, local communities or within peoples' own homes. We've also worked together to minimise service disruption where we can.

As a safeguarding adults board we have statutory duties that we must carry out and whilst Covid has inevitably taken priority, safeguarding does not stop and work has continued throughout the year

The level of work and commitment from partners, from frontline workers to volunteers, unpaid family carers, and those within our communities has been breathtaking and I wish to express my sincere thanks and gratitude for the extraordinary work that has been carried out.

In June 2020, we marked Safeguarding Week by holding an online awareness campaign to where we focused on promoting the message Tell Us Your Concerns. This was to reassure people that despite the restrictions and changes to services, safeguarding concerns should still be reported and would be dealt with as normal.

Events such as these serve as a reminder of how important it is to engage with the public and communities throughout North Yorkshire, albeit virtually.

We have continued to build on the connections we have with the North Yorkshire Safeguarding Children's Partnership and Community Safety Partnership.

An example of this is the implementation of our Joint Engagement and Communications strategy and calendar of activity; which you can read about in the report.

We have undertaken a Safeguarding Adults Review (SAR) in the 2020 / 21 period and work is currently underway to implement the recommendations from this review. There are more details within the body of this report.

We have also commissioned a SAR that will be undertaken with the North Yorkshire Safeguarding Children Partnership (NYSCP). The findings of this review will be published in our 2021/22 Annual Report.

We have much to focus on over the coming year. The lessons we have learnt from the pandemic will inevitably inform a lot of our work as well as allowing the Board the opportunity to review our work and areas of development.

Our strategic priorities for 2021-23 reflect this. They build on the work that has already been carried out by the Board and how we wish to progress over the next years. The priorities focus on our commitment to raise awareness and create a community approach to safeguarding, on seeking assurance from partners for the implementation of policy and procedures, working closely with other partnerships and responding to changes and reviews both locally and nationally.

The events of the last year have been unprecedented and incredibly difficult for many, and although the Government has shared its 'roadmap' out of lockdown and restrictions

begin to ease, there will be many changes to the way we live and work and there will be challenges which we will have to face. The virus is still with us and will be for a long time.

Many colleagues are exhausted and uncertain of what is to come, yet the examples given by our partners at the Board meetings throughout the 2020/21 period demonstrate how staff and communities from across North Yorkshire have come together to safeguard adults across North Yorkshire.

In conclusion, I would again like to give thanks to every colleague in our partner agencies. You have made, and continue to make a massive difference to peoples' lives.

Thank you.



Dr Sue Proctor
Independent Chair
NYSAB



Introduction to the Annual Report

Welcome to the Annual Report for the North Yorkshire Safeguarding Adults Board.

This report sets out the strategic vision, outcomes and a summary of our priorities.

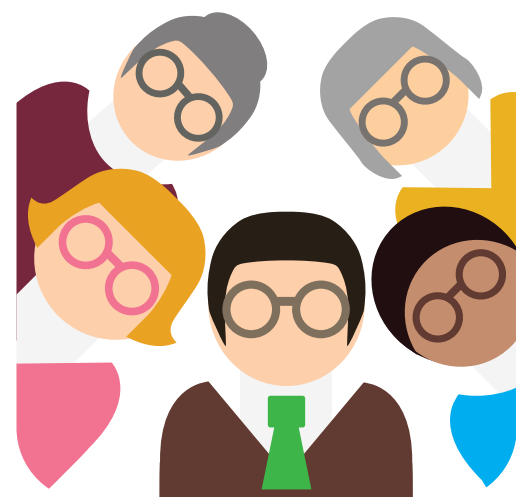
Page 22 gives information on internal governance structures and committees as well as holding partners to account.

Despite the response to the Covid-19 pandemic taking priority, the Board has continued to carry out its work and statutory duties and updates are provided on this work, including the introduction of the Persons in Position of Trust (PiPoT) Policy, the publication of SAR 'Anne', our work on joint engagement and communications, and the work of our sub-groups and Local Safeguarding Partnerships (LSPs).

Statements from our statutory partners outline what has been achieved and, given the current circumstances, how they have continued to keep people safe throughout the pandemic.

Also included is our work on learning and reviewing safeguarding practice and standards including information on Safeguarding Adults Reviews (SARs) and the data we have collected throughout 2020/21.

Our 2021/23 strategic priorities are listed to set out what we aim to achieve in the coming years.



Our Strategic Vision

“We will provide leadership, challenge and direction to ensure that the partner agencies improve outcomes for adults at risk of harm or abuse. We will promote values of openness, trust, respect and learning.”

Our Strategic Outcomes

Awareness and Empowerment

People feel safe and in control. They are more able to share concerns and manage risk of harm either to themselves or others.



Prevention

We work on the basis that it is better to take action before harm happens.



Protection and Proportionality

We provide support and help for those adults who are vulnerable and most at risk of harm. We respond to identified risks and intervene as necessary to protect from harm or manage risks.

Partnership and Accountability

We work for local solutions in response to local needs and expectations. We focus on improving outcomes for people and communities. We hold each other to account for delivery.



Our Strategic Priorities 2020/21

Work more closely with communities in North Yorkshire to develop strategies that reduce the risk of abuse, and help improve services:

- Use public campaigns to improve local communities' understanding of adult abuse and how to get help
- Encourage local communities to be the "eyes and ears" of safeguarding – "Safeguarding is Everybody's Business"
- Alert people to scams, fraud and other forms of financial abuse
- Explore new ways the SAB can find out the views of adults about their experience and awareness of safeguarding
- Support Healthwatch to seek the public's views of Health and Social Care Services

Implement and embed the new Multi-Agency Safeguarding Policies and Procedures, in line with national guidance and best practice around Making Safeguarding Personal:

- Deliver confident and competent practice that is responsive, and in which the principles of 'Making Safeguarding Personal' are embedded
- Ensure the adult, their families and carers work together with agencies to find the right solutions to keep people safe, and support them in making informed choices.

Develop a whole community approach to the prevention of abuse:

- Ensure statutory agencies work together in an effective manner, including initial response to safeguarding concerns
- Work more closely with partners in children's and community safety services at a strategic and local level
- Develop relevant partnerships around issues in other areas which impact on Adult Safeguarding including, but not restricted to, modern slavery, domestic abuse and sexual exploitation

Ensure the accountability of all partners working with adults at risk of abuse:

- Seek better evidence that the SAB's activity and plans are making a positive difference for people – do they feel safer?
- Develop multi-agency performance data and Key Performance Indicators, and benchmark ourselves against others
- Ensure the voice of people who use services and their representatives help to shape professional practice
- Ensure that immediate and longer-term learning from SARs, serious incidents and from good practice identified within North Yorkshire regionally and nationally, is understood and implemented across all agencies

Develop North Yorkshire's response to National Priorities:

- Prepare for the implementation of the Liberty Protection Safeguards and the outcome of the Mental Health Act Review (now deferred by national government to 2021/22)
- Review and monitor any action and improvement plans that result from any identified safeguarding issues from Learning Disability Mortality Reviews.

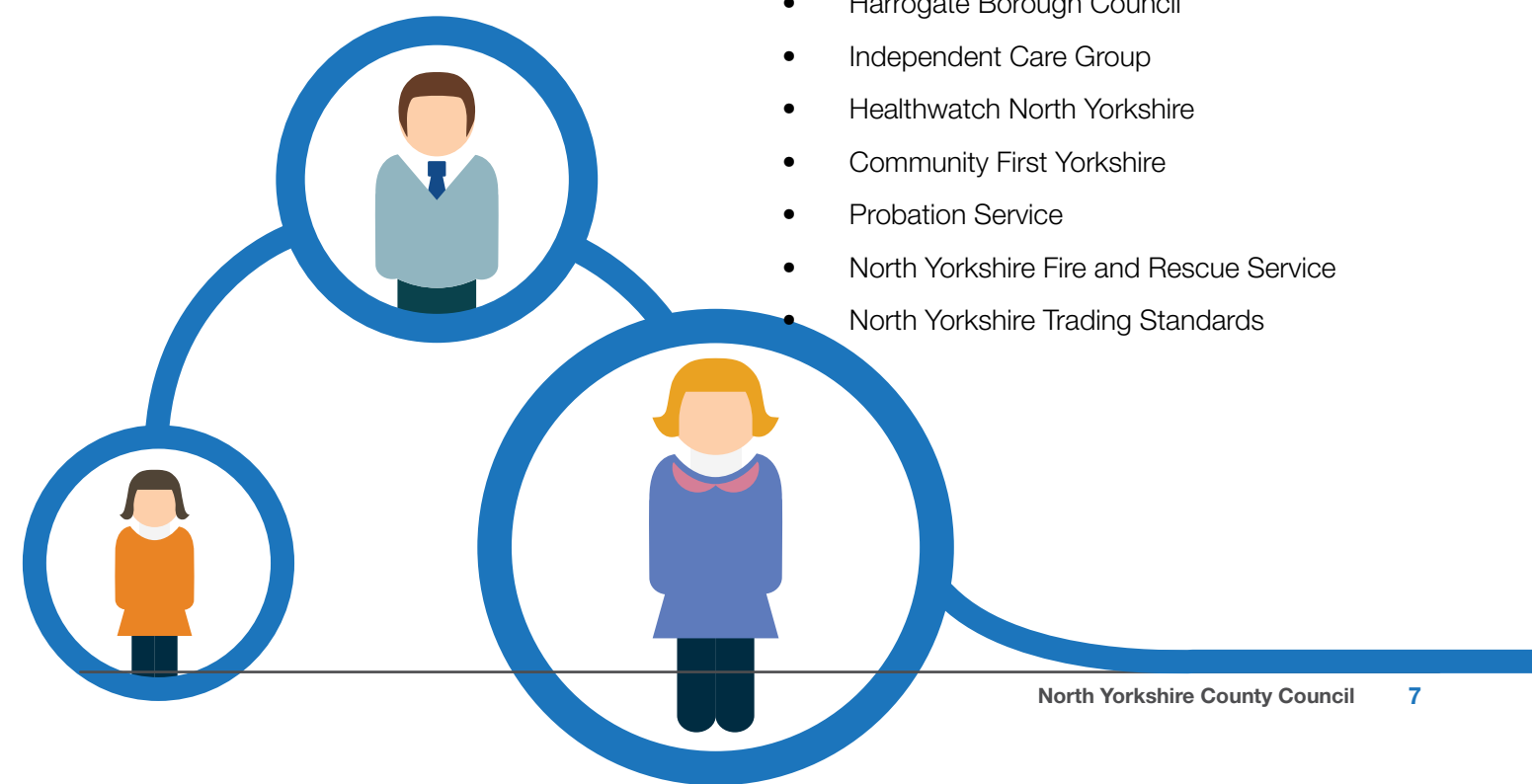
Understand and assess the impact of changes in the strategic context within which the Board operates:

Anticipate and respond to any changes that could impact (positively or negatively) on Safeguarding in North Yorkshire, for example:

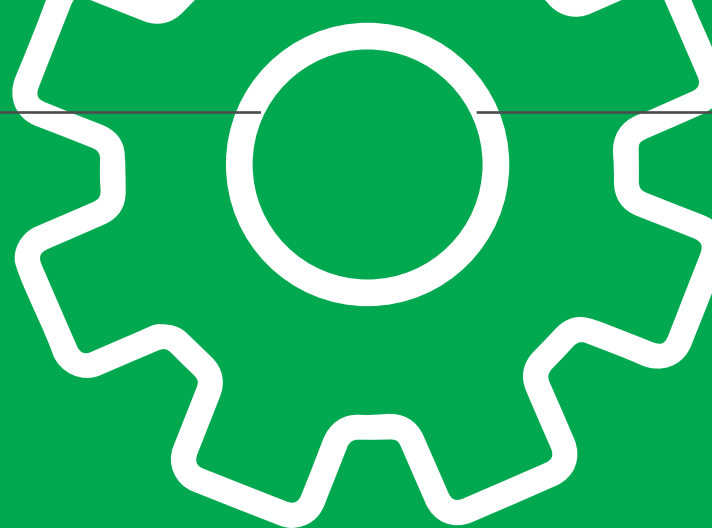
- Exiting the EU
- NHS 10-year plan
- Budgetary Pressures
- Changes to the Safeguarding Childrens' Partnership working arrangements
- The impact of Covid 19 and progress towards recovery

Our Partners:

- North Yorkshire County Council
- North Yorkshire Police
- North Yorkshire NHS Clinical Commissioning Group
- Bradford District and Craven NHS Clinical Commissioning Group
- Harrogate and District NHS Foundation Trust
- Tees Esk and Wear Valleys NHS Foundation Trust
- South Tees Hospitals NHS Foundation Trust
- Airedale NHS Foundation Trust
- Humber NHS Foundation Trust
- York and Scarborough Teaching Hospitals NHS Foundation Trust
- Richmondshire District Council
- Hambleton District Council
- Selby District Council
- Ryedale District Council
- Craven District Council
- Scarborough Borough Council
- Harrogate Borough Council
- Independent Care Group
- Healthwatch North Yorkshire
- Community First Yorkshire
- Probation Service
- North Yorkshire Fire and Rescue Service
- North Yorkshire Trading Standards

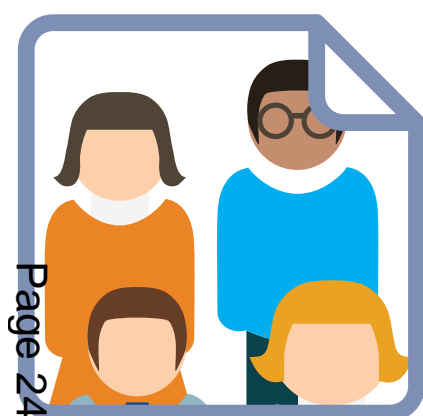


The work of the North Yorkshire Safeguarding Adults Board and its Sub Groups 2020/21



North Yorkshire Safeguarding Adults Board (NYSAB) works to protect adults who may be at risk from abuse by promoting co-operation and effective working practices between different agencies. NYSAB brings together a combination of NHS, police, local government, independent and voluntary sector and community partners seeking to ensure that adults who may be at risk of abuse are safe and well.

The Board has a number of sub groups to assist in its role, each with their own responsibility. This is a summary of the work the Board and subgroups have carried out and are looking to carry out in the future.



Policies, Practice, Development and Legislation Subgroup (PPDL)

The group has supported the following:

A Post Implementation Review of the Joint Multi-Agency Safeguarding Adults Policy and Procedures is planned for Health and Adult Services (HAS).

Veritau have been involved in an internal review as independent auditors to provide objective assurance. In addition to this a questionnaire has also been developed for HAS staff. A further review and update of our electronic recording system is underway. There will also be a review of the HAS Operational Guidance There are over 2000 members of staff in HAS who follow this Operational Guidance.

Safeguarding Review: A Safeguarding Review has been undertaken and staff report that they feel that the procedures are more person centred and proportionate for the person by ensuring that they are supported with decision making and supported to achieve their outcomes, in keeping with Making Safeguarding Personal (MSP).

Training update: Training has been promoted across all partner organisations throughout the year and has successfully been delivered on-line.

Training Standards: A Training Standards document has been produced for organisations to follow and advises what they need to include if they wish to develop their own safeguarding training courses for adults, young people and children. Available here: <https://safeguardingadults.co.uk/learning-research/>

Section 11 and Governance Audit: A section 11 and Governance Audit has been developed with the North Yorkshire Safeguarding Children's Partnership and City of York Safeguarding Adults Board and Safeguarding Children's Partnership. This will reassure the NYSAB that partner organisations have everything in place to deliver safeguarding effectively.

One Minute Guides: One Minute Guides (OMGs) have been developed with North Yorkshire Children Safeguarding Partnership (NYSCP) and North Yorkshire Community Safety Partnership (NYCSP). Partner organisations report that they find these particularly helpful.

Our OMGs are designed to provide bite sized information on key issues and areas of focus. They cover a number of areas from County Lines to Modern Slavery. All OMGs can be found on the NYSAB website: <https://safeguardingadults.co.uk/working-with-adults/one-minute-guides-omg/>

Risk Notification Return Guidance Tool for providers:

There has been a review of the Safeguarding Adults Decision Support Guidance, which is now called the Risk Notification Return Guidance Tool for providers. Colleagues from the North Yorkshire Clinical Commissioning Group were involved in a review of this document. This document assists providers in identifying situations that may occur whilst carrying out a service/activity which relates to a quality assurance issue. In addition, one that requires notification to the Quality and Market Improvement Team via completion of the Risk Notification Return. A number of webinars were held on Teams with Providers to raise awareness about this.

More information is available here: <https://www.northyorks.gov.uk/info/risk-notification-return-guidance-tool> and <https://www.northyorks.gov.uk/tools-procedures-and-guidelines-adult-social-care-services-providers>

Persons in a Position of Trust (PiPoT): There has been a review of the guidance which has been written for responding to concerns about Persons in a Position of Trust (PiPoT). This is where a concern relates to someone's personal life, but may impact upon their job role, if they work with adults with care and support needs. A task and finish group included work with multi-agency partners including health and police working with the local authority. For more information visit: <https://safeguardingadults.co.uk/working-with-adults/nysab-procedures/PiPoT>

Learning and Review Subgroup (LAR)



Once the SAR reports are published, the Learning and Review Group are responsible for developing and overseeing the action plans for all statutory and non-mandatory SARs.

In 2020 / 21 work has been undertaken to implement the recommendations from two SARs, 'Ian' and 'Anne', and from the 'Mrs S' Lessons Learned review.

Further details on the SARs we have carried out can be found on pages 30 and 31 of this report.

The group has also spent time reviewing previously commissioned SARs through a thematic analysis review.

As part of this review, eight recommendations were made and a number of these will require evidence and assurances from partner agencies that actions have been carried out within their organisations.

The aim of this thematic analysis is to identify themes and trends within these reviews, and to better understand which areas of practice, policy and culture either have contributed to, or have been present, during multi-agency failings in the past.

It is hoped such an analysis will identify proactive steps that can be taken by Board members to improve practice and ultimately keep adults at risk living in North Yorkshire safer.

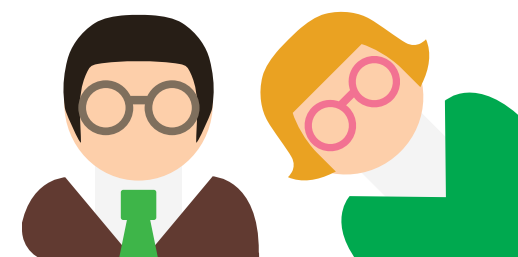
The main area of focus for the learning and review group this year has been to continue updating the Safeguarding Adults Review (SAR) Policy and process to make sure that we undertake our legal duties in an efficient and effective manner.

We have also reviewed our SAR policy in light of feedback received from family members and relatives who have been involved in the process of the SARs that we have carried out; particularly the sections relating to family engagement and involvement.

This feedback will help to strengthen how we engage with families and relatives during the SAR process as well as outline what their role is within the SAR process.

We will make more explicit what the purpose of a SAR is and what a SAR can and, just as importantly, what a SAR cannot do. This will help manage the expectations of all those, including families and relatives, who are involved in the SAR process.

Performance and Quality Improvement Subgroup (PQI)



The PQI subgroup has met quarterly, meetings have been held virtually on Teams and attendance by multi-agency partners has been good.

Multi-agency partners including the health sector and the police provide information and data from their organisation which relates to safeguarding. This is included in the PQI report to the Executive Group on a quarterly basis, and highlights themes and trends to inform the work of the NYSAB and improve safeguarding practice. This report is monitored by the North Yorkshire Safeguarding Adults Board.

Following implementation of the Safeguarding Policy and Procedures, safeguarding is now more person centred: the person is asked how they would like the safeguarding concern to be responded to and they are supported to achieve their outcomes. This improvement is evidenced within the performance data and is currently exceeding the national average for this indicator.

We can also see this evidenced in the case study of 'Sarah' which can be viewed here on the NYSAB website <https://safeguardingadults.co.uk/wp-content/uploads/2021/07/Making-Safeguarding-Personal-Case-Study-Sarah.docx>

The PQI group continues to monitor and review the data by undertaking regular audits, some of which identify whether the safeguarding procedures are being followed correctly and identify areas for learning, which will improve practice.

The Quality and Market Improvement Team are responsible for all aspects of adult social care contracting on behalf of HAS. When a concern is raised about a care provider they will work with them to ascertain what support is required, and whether contract compliance action is required to ensure a safe and appropriate quality of service is maintained. Quality and Market Improvement Team information is discussed at the PQI subgroup to inform the overarching report and identify themes and trends.

Year in Numbers



23% 2
780 18%
503 13

The year at a glance 2020-21

3456
(4503)

Safeguarding concerns received during 2019/20

23%
(18%)

The decrease in safeguarding concerns received from the previous year

Page 26
1106
(432)

Number of people's personal outcomes that were fully achieved during the safeguarding adults process

We are now asking considerably more people about outcomes,

Of the **76%** of people that did express a desired outcome:

70% of these people's outcomes were fully achieved;

28% of people said their outcomes were partially achieved; and

2% of people, (32) said their outcomes were not achieved.

In England in 2019/20, **67%** of people fully achieved their personal outcome.

1
(2*)

Number of Safeguarding Adult Reviews we have commissioned this year

1
(1)

Number of Safeguarding Adult Reviews we have carried out this year

1590
(1374)

Number of safeguarding enquiries concluded at Quarter 4

25%
(23%)

Neglect & acts of omission is the highest abuse type recorded for completed enquiries in 2020/21

3518
(2*)

Number of Deprivation of Liberty Safeguards (DoLS) applications received

6%
(n/a)

The recordings of domestic abuse total 6% of abuse types and an average of 22 a month

701
(1279*)

Independent Sector (Care Home) continues to be the source of most safeguarding concerns

(#) 2019/2020 figures

**During 2020/21, there has been a 45% reduction in the number of concerns raised by residential and nursing homes. This follows the introduction of the Joint Multi-Agency Procedures, which commenced in October 2019.

Engagement & Communication

Over the last 12 months we have continued to build on the way we communicate and engage not only with partners and professionals but with the people who use our services as well as the general public.

The Covid pandemic means we have not been able to carry out engagement quite as planned; however, we have been creative with our approach and we have developed alternative ways to keep in touch with people and ensure they have ways to feedback.

Here are some key areas of work we have carried out in Engagement and Communications

Joint Engagement and Communications Strategy and Supplementary Guide

The NYSAB, NYSCP and NYCSP developed a joint engagement and communication strategy to outline how the Board and Partnerships want to engage and communicate with children, young people, adults families, professionals and the wider community in North Yorkshire.

We hope that by having a joint approach across the Board and Partnerships that the whole community will understand what abuse, exploitation, harm and neglect look like as well as the roles they play in keeping people safe and promoting welfare. 'Safeguarding is everybody's business'.

Over the past 12 months we have worked to develop and implement our strategy and accompanying supplementary guide which includes the tools and templates needed to carry out engagement and communication across north Yorkshire.

The Joint Strategy and Supplementary Guide can be found here: <https://safeguardingadults.co.uk/engagement-and-communication>

Calendar of Activity

The Calendar of Activity brings together the joint Partnership Campaigns of the NYSAB, the NYSCP and the NYCSP as well as existing campaigns and awareness days which the partnerships support. It also includes individual Board and Partnership activity.

By having this calendar we can co-ordinate our messages and awareness raising campaigns to ensure consistent messaging. Throughout 2020 / 21 we have planned and delivered Safeguarding Week, Hate Crime Awareness Week and supported over 16 local and national campaigns.

The Calendar of Activity can be found here on the NYSAB website: <https://safeguardingadults.co.uk/calendar-of-activity>



Website

In June 2019 we launched our website (www.safeguardingadults.co.uk) which provides information for partners and professionals as well as the general public.

The website has been really well received and has been shared as a piece of good practice both regionally and nationally.

Over the next year we will be reviewing our website, particularly in line with our commitment to co-produce accessible resource, and we will be using the feedback from the NYSAB engagement to help inform this review of the website and our suite of keeping safe resources.

Below are the analytics from 2020/2021 in comparison to the figures for the same time period in 2019/20.

		2019 / 20**	2020 / 21	+/-
	Number of overall visits	5635	9019	+3384 (+60%)
Breakdown of website categories	About Us	425	667	+242 (+56.94%)
	Learning and Research	1,269	2,226	+957 (+75.4%)
	Keeping Safe	2,003	2,687	+684 (+34.14%)
	Working with adults	924	1,942	+1018 (+110%)
	Resources	1,014	1,497	+483 (+47%)

the NYSAB website was launched in June 2019 and so these figures are from June 2019 – 31st March 2020

Twitter

Social media continues to be a very important way of engaging and communicating with people not only to raise awareness and promote campaigns, but also share policy updates and work from the Board.

It has been a vital communication and engagement tool during the Covid pandemic.

Below are the analytics from 2020/2021 in comparison to the figures for the same time period in 2019/20.

As we have strengthened our work around campaigns and awareness raising, as well as listening to what information people would like us to share and how they would like to share it, the figures have increased significantly. We appreciate that this may also be due to the reliance of social media during the pandemic, but it is something we would like to maintain and build on going forward.

	2019/20	2020/21	+/-
Followers	748	982	+ 234 (+ 31%)
Profile visits	4,183	5,365	+1,182 (+28.25%)
Tweet Impressions*	83,780	197,992	+114,212 (+136.3%)
Tweet Engagements*	2,574	5,133	+2,559 (+99.4%)

* Tweet Impressions are the number of times a Tweet by @NYSAB1 features on somebody's timeline

**Tweet Engagements are the number of times people open a tweet, watch media within the Tweet or follow a web link within a tweet

Keeping Safe Audio Guides

Last year we published the easy read books about 'Keeping Safe' which were co-produced with the North Yorkshire Learning Disability Partnership Board and Inclusion North.

These books were really well received and have been shared as an example of best practice throughout the Safeguarding Adults Board Managers Network.

Listening to feedback from the public and professionals, as well as building on our commitment to be inclusive and accessible, we have now developed the guides in easy read formats.

The links to the audio guides are here:

- **Book 1: What is abuse?** <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse-2.m4a>
- **Book 2: Speaking up about abuse** <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse.m4a>
- **Book 3: Reporting abuse** - <https://safeguardingadults.co.uk/wp-content/uploads/2021/02/01-NYSAB-Keeping-Safe-from-Abuse-1.m4a>

Future work

Following on from engagement work on the Mental Capacity Act (2005) and Deprivation of Liberty Safeguards (DoLS) which the Board carried out in 2018, we are now working as part of a task and finish group with representatives from Dementia Forward, Cloverleaf Advocacy, Carers Resource, Inclusion North and the Learning Disability Partnership Board. Our joint aim is to co-produce a suite of accessible resources about 'My Rights The Mental Capacity Act' and 'My Rights – Deprivation of Liberty Safeguards'.

The aim of these resources is to empower individuals to recognise when, and how, to raise concerns, and also how the legislation can be used to empower when it is implemented correctly.

With the upcoming implementation of the Liberty Protection Safeguards (LPS) there is a lot of interest in this piece of work and once the resources have been created and developed we will be launching these and sharing across North Yorkshire.

Working with Healthwatch

We will be working with Healthwatch to capture the views of adults across North Yorkshire. One of the ways we will be doing this is linking in with their pre-existing surveys

The findings from these surveys will be analysed and used to support influence and service change.



Partnerships and Networks

NYSAB works with a number of local Strategic Boards and Partnerships:

- North Yorkshire Safeguarding Children's Partnership
- North Yorkshire Community Safety Partnership
- North Yorkshire Health and Wellbeing Board
- North Yorkshire and York Police and Crime Commissioner

- North Yorkshire and York Systems Leadership Group
- North Yorkshire Inter-Board Network
- North Yorkshire County Council

These Boards and Partnerships all have a role in leading and managing safeguarding across North Yorkshire. NYSAB works in partnership with them to identify and implement agreed collaborative initiatives.

Local Safeguarding Partnerships (LSPs)

Page 28

Local Safeguarding Partnerships are local safeguarding meetings where partners come together. Professionals are based in Children, Adult and Community Safety services and aim to raise awareness of safeguarding in the local area and respond to local need. The groups identify learning needs, share good practice and deliver the local priorities within the Board and Partnership's business plans.

Each LSP has provided an update on the areas of work they are focusing on within their locality.

Harrogate & Craven

The Harrogate and Craven LSP has worked to support the provision of safeguarding services across all organisations throughout the Covid-19 pandemic. We have used the forum as a platform for checking and supporting service delivery and looking for opportunities to reinforce areas in need of help.

The group have maintained three key themes through the past year and these are:

- A priority on dealing with County Lines and as a consequence we have continued to provide training and awareness opportunities across the partnership for frontline staff and volunteers. Working closely with partners across the county to embed a robust process for appropriate use of the National Referral Mechanism for exploited and trafficked individuals.
- We have provided access to range of development opportunities made available by a cross-section of organisations and making best use of new technologies brought to the forefront through the pandemic.
- We have continued to discuss and raise awareness of the difficulties we face in providing the right support to adults at risk who access services and referrals from within Harrogate and Craven yet reside in other Local Authority or police force areas.

Scarborough, Whitby & Ryedale

The group focused on the following work areas to promote awareness raising and empowerment including reconnecting with communities in North Yorkshire during the Covid pandemic; through partnership working and accountability;

During Safeguarding Week in June 2020, the local LSP developed an awareness package for agencies and organisations on Operation Divan and Operation Disarm in relation to knife crime.

Police work has been ongoing in relation to drug related deaths within the locality and this will be fed into the ongoing County Lines work.

In October 2020 a hate crime awareness presentation was delivered by the North Yorkshire Community Safety Partnership lead as part of the hate crime campaign/

The homeless complex needs project has successfully progressed its multi-agency work. This is a multi-agency project in collaboration with NYCC, Beyond Housing, Scarborough Borough Council and Tees Esk and Wear Valleys NHS Foundation Trust to provide intensive support and housing where identified, to adults who are rough sleeping or homeless and have substance misuse, mental health and / or general health needs.

As well as the key themes and topics listed above the LSP also worked on developing their action plan throughout the year and identifying work streams and activity for the locality.

At each LSP meeting representatives from organisations gave updates on the work they were doing in the locality with regards to keeping people safe, particularly during the pandemic.

Hambleton & Richmondshire

The group has been well attended by a wide range of partner agencies who have shared their experiences to the response and recovery of the pandemic across the past 12 months. Members have developed and disseminated an understanding in relation to local activity of safeguarding concerns and services providing responses within the

community to victims of exploitation, financial and domestic abuse. Agencies have engaged in a work plan which focused on the delivery of Local Safeguarding week concentrating on promoting the Boards workshops and going back to basics of Safeguarding for the community.

Selby

The group has focused on the following work areas to promote awareness raising and empowerment, including reconnecting with communities in North Yorkshire during the COVID Pandemic, through partnership working and accountability:

- Presentations were delivered to the group on a range of topics including:
 - o The new Multi-Agency Safeguarding Adults Policy and Procedures - all organisations have now confirmed they have embedded the new safeguarding policy and procedures within their organisations. This ensures they are in line with best practice – now and in the future.
 - o Signs of Safety Approach – a presentation was delivered by a colleague from North Yorkshire County Council's Children and Young People's Services (CYPS). The group found this presentation useful and this approach was seen to be helpful regarding transferable skills.
 - o Update on new procedures regarding Persons in a Position of Trust working with Adults with Care and Support Needs. The group discussed the connectivity between this and the Children's Local Authority Designated Officer.
- At each meeting each partner organization provided a summary of the work they have undertaken in respect of their response during the COVID Pandemic and their planning for recovery. During the pandemic partner organisations have adapted their approach including working from home with some working in the office to ensure people have been supported via telephone and video, so it has been very much "business as usual."

Safeguarding Week 2020

The North Yorkshire and City of York Safeguarding Adults Boards, Safeguarding Children Partnerships and Community Safety Partnerships worked together to deliver a virtual awareness raising campaign on how to report abuse of children, young people and adults. Safeguarding Week has previously involved local events for professionals and members of the public, however this is the first year that a purely virtual campaign has taken place.

During the week (22nd - 26th June) social media was used to promote awareness to the public and professionals, to remind people that **“Safeguarding is everybody’s business”**. Further, that concerns about abuse or neglect should continue to be reported to the respective Local Authority as normal during the pandemic.

A coordinated social media campaign made use of, and sign posted to, existing resources. As part of this **#TellUsYourConcerns** was used during Safeguarding Week 2020.

The weekly schedule across North Yorkshire and York had a consistent theme, “How to report a concern” on social media each day, and also focused on a different safeguarding area as follows:

- **Domestic Abuse**
 - **Keeping Safe Online**
 - **Radicalisation, Extremism and ‘Prevent’**
- o Radicalisation refers to the process by which a person comes to support terrorism and forms of extremism leading to terrorism.
 - o Extremism is vocal or active opposition to fundamental British values, including democracy, the rule of law, individual liberty and mutual respect and tolerance of different faiths and beliefs. We also include in our definition of extremism calls for the death of members of our armed forces, whether in this country or overseas.
 - o Prevent is one of the four elements of CONTEST, the government’s counter-terrorism strategy. It aims to stop people who are vulnerable to extremism and radicalisation from becoming terrorists or supporting terrorism. The Home Office works with local authorities and a wide range of government departments and community organisations to deliver the Prevent Strategy.



We have more information in our One Minute Guide to County Lines and Cuckooing which is available here: <https://safeguardingadults.co.uk/prevent-extremism-and-radicalisation>

- **County Lines / ‘Cuckooing’**
 - o County Lines is the term used to describe the approach taken by gangs originating from large urban areas who travel to locations such as county or coastal towns to sell Class A drugs. Gangs typically recruit children and young people through deception, intimidation such as threats, violence and grooming.
 - o Cuckooing usually involves identifying vulnerable people who may use drugs and alcohol, or people who may be vulnerable due to mental or physical impairments, single mothers and female sex-workers. The dealers then coerce, and sometimes threaten the vulnerable person into allowing them to take control of their home so they can use it to store and sell drugs.

We have more information in our One Minute Guide to County Lines and Cuckooing which is available here: <https://safeguardingadults.co.uk/county-lines-cross-border-gangs-cuckooing/>

- **Mental Health and Wellbeing**

The week was a great success with many people getting involved with the online activity and sharing the key messages and resources throughout the week.

We saw an increase in the number of people engaging with the NYSAB social media account and accessing resources via the website. This resulted in the following:

- **15,242 Twitter impressions** which is the number of people who have seen the post. The Tweet with the highest number of impressions had 8,196 views, about the One Minute Guide for Prevent – Extremism and Radicalisation. Feedback from professionals was they found the guide very helpful.
- **336 Twitter engagements** which is when a person has engaged in a post, for example they have clicked on to follow a link, find out more information about the NYSAB or viewed a linked file.
- **37 new Twitter followers** bringing the total followers to 771 (by June 2020).

Feedback received from the campaign will also inform the information the Board produces going forwards as well as how we share this.

This was the first campaign planned using the Joint Engagement and Communication Strategy and it proved to be very effective and helped to create a collaborative awareness raising campaign.



Partner Statements

North Yorkshire County Council Health and Adult Services Awareness and Empowerment

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Worked in partnership to plan and deliver a safeguarding workshop on the Mental Capacity Act which included, Raising Safeguarding concerns and reporting Risk Notification Returns to the Quality and Market Improvement Team.

- The HAS Safeguarding Team have:
 - o facilitated regular “Peer Support Sessions” for HAS Enquiry Officers and Safeguarding Coordinators
 - o produced a regular Safeguarding Newsletter which promotes safeguarding resources to HAS staff
 - o delivered awareness raising sessions about safeguarding at Higher Education establishments
- Attended multi-agency forums and Partnership Boards

Prevention

- The Post Implementation Review (PIR) for the Joint Multi-Agency Safeguarding Adults Policy and Procedures is underway. The focus is making sure that the Safeguarding Policy and Procedures have been implemented successfully within HAS.
- The safeguarding team and training and learning team, have promoted the updated safeguarding competencies for level 3 enquiry officers and level 4 safeguarding coordinators within HAS.
- The use of easy read guides and safeguarding resources has been promoted.
- Quality and market support meetings have been implemented to review and respond to quality issues identified internally or by partner agencies and assess the level of support/intervention required. It is a collaborative approach to support the care market, aims to promote a pathway to outstanding, improving outcomes, and lived experiences to those accessing services

Protection and Proportionality

- HAS is undertaking specific work regarding anti-racist practice, including the development of an “anti-racist ‘pledge’ and anti-harassment policy”.
- The safeguarding DASHBOARD has been reviewed and updated to support the Safeguarding Adults Collection (SAC) of data to the Department of Health and Social Care (DHSC) and enables data to be regularly reviewed by HAS and the NYSAB partners to assure quality, improve safety and quality in adult safeguarding.
- The Domestic Abuse Stalking and Harassment Risk Assessment (DASH) has been built in to the electronic recording system, for safeguarding practitioners to use within HAS when responding to concerns about domestic abuse.

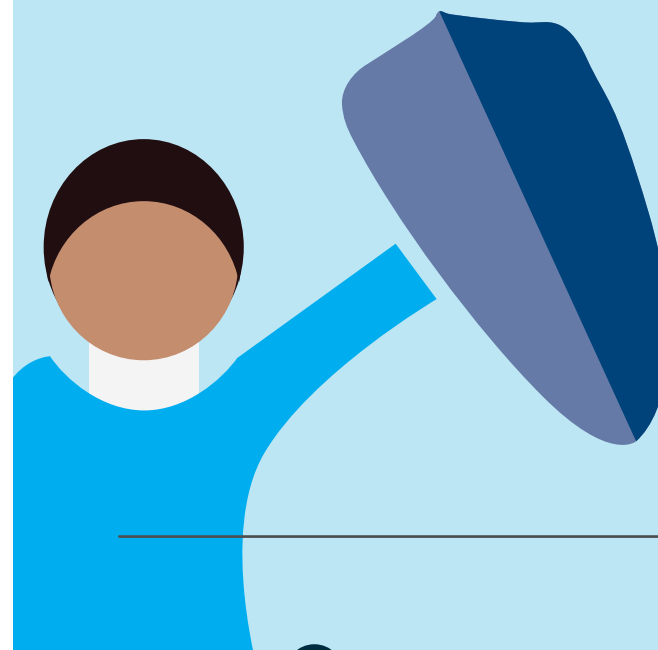
Partnership Effectiveness and Accountability

- The following sessions are offered to support HAS practitioners:
 - o Safeguarding peer support – an opportunity for safeguarding coordinators and enquiry officers to access the skills, knowledge and confidence to develop and deliver good practice when working with adults at risk.
 - o Practice peer meeting – an opportunity for practitioners to work through practice queries, share knowledge / ideas / experience and learning and open up opportunities for shadowing and more robust joint-working.
 - o Practice support meeting - a valuable resource available to practitioners and their managers that provides a forum to discuss complex practice situations. The meeting attendees work in partnership to find a way forward to support individuals achieve their outcomes and manage identified risks.
- An internal safeguarding tactical advisory group has been implemented and meets regularly. This provides a forum for discussing and action planning issues, which are impacting on safeguarding practice.
- Regular safeguarding updates, which are relevant to safeguarding practice, are provided at the care and support managers forums.

‘Keeping everyone safe’ in 2020/21

During the pandemic:

- HAS restructured the way that operational teams responded, including the introduction of command centres, response and delivery teams as well as introducing new operational hours and new assessment tools.
- Home working provided the opportunity to roll out at scale technological solutions to support virtual teams, virtual assessments using apps such as WhatsApp and improved technology in care settings.
- The Quality Market Improvement Team maintained good communication and offered support to provider services.
- Our Quality Improvement Team (QIT) provided additional support and resources to providers, depending on what was required.
- Intelligence and risks regards care provider services have been shared at silver and gold meetings. These are multi-agency meetings where solutions can be found, decisions on guidance made and additional actions are agreed.



North Yorkshire & York NHS Clinical Commissioning Groups (CCGs)

Awareness and Empowerment

- GP Safeguarding leads network meetings were changed to online during the pandemic but as in previous reports have continued to be well attended. The meetings offer additional specialist training and support for the dedicated safeguarding practice leads in each GP surgery.

- The Health Partnership Group continued as a virtual meeting providing valued peer support and an effective mechanism for disseminating and discussing local and national safeguarding issues and sharing best practice.

All training packages were adapted to be delivered virtually during the pandemic and the CCG safeguarding team have delivered training to 465 Primary Care staff on domestic abuse, stalking and harassment; learning from local adult case reviews; working with adults who self-neglect and an overview of Liberty Protection Safeguards.

- Level 3 safeguarding adults initial training has been delivered to GP Practice and CCG staff with 41 attendees across two events. Additional training has been delivered to administrative staff on safeguarding awareness and management of safeguarding information in GP practice.
- The safeguarding team contributed to arrangements for safeguarding week in June 2020 with the new format of a narrated presentation on self-neglect and the newly published guidance was disseminated to all practice safeguarding leads to share with practice colleagues.

Prevention

Providing the right safeguarding specialist support for GP practices and provider organisations has been key during the pandemic. Measures taken by the CCG safeguarding team have included:

- Extended hours of work by team members to provide safeguarding advice before and after usual surgery hours.
- Guidance developed for 'safeguarding at a distance' to support GPs undertaking virtual consultations to spot potential signs of abuse
- Dissemination of the Self Neglect Practice Guidance.
- Development and dissemination of new safeguarding bulletins for provider organisations as a summary document of safeguarding information to share in a timely way; cut down on email communication; and support practitioners who were redeployed away from their specialist roles into frontline clinical work.
- Regular provision of a safeguarding summary bulletin for primary care staff.
- Audit of the MAPPA process evidenced robust information sharing with Primary Care.
- Primary Care engagement in the MARAC process now well-embedded.
- Working together with multi-agency partners to share concerns regarding the impact of the pandemic on care providers and people with a high level of need and additional vulnerabilities – reducing the risk of abuse, neglect and self-neglect



Protection and Proportionality

- The CCG and Primary Care safeguarding team support enquiry work where complex health issues are a predominant feature and where a multi-agency response to high-risk cases is required.
- The team have been involved in almost 100 section 42 enquiries in 2020/21 with the locality teams across the North Yorkshire region (a small decrease from 110 cases in 2019/20). The main categories of abuse in cases with CCG involvement have been neglect or self-neglect and physical abuse.
- The safeguarding team have continued to offer support and advice to practitioners in respect of actions required for potential safeguarding concerns. The number of calls for advice and support remained at the same level as the previous year.
- The safeguarding team work closely with health colleagues providing safeguarding advice and risk-management support where required for patients in receipt of Continuing Healthcare Funding.
- The number of quality assurance visits reduced significantly in 2020 as the team worked remotely from March and care homes adhered to the national lockdown and closed their doors to all visitors in all but exceptional circumstances. The team worked with partners to complete virtual assessments where concerns were identified.
- A joint adults and children CCG policy for Managing Allegations Against Staff was approved in October 2020; providing a clear process alongside the NY SAB Persons in Positions of Trust (PiPoT) procedures.

Partnership Effectiveness and Accountability

- From April 2020 North Yorkshire CCG became the employing organisation for the safeguarding team for both North Yorkshire and Vale of York CCG. An internal audit was completed in October 2020 which demonstrated significant assurance on the effectiveness of safeguarding arrangements in place including compliance with statutory requirements.
- A new Specialist Nurse for Primary Care was recruited in May 2020 and a new Safeguarding Officer in October 2020. Both recruits have added significant experience to the team from previous roles.
- Local delivery of the Learning Disability Mortality Review Programme (LeDeR) was maintained by the safeguarding team until transfer of the programme to the CCG Director of Transformation. The safeguarding team continue to provide administrative and project support and the Designated Professionals are active members in the steering group.
- The NY CCG Chief Nurse is the executive member of the NY SAB and the CCG Designated Professionals and Primary Care Team have continued active roles in NY SAB and all its subgroups.
- Safeguarding across the developing Humber, Coast and Vale Integrated Care System has been strengthened by regular meetings of the Safeguarding Designated Professionals with the development of shared actions and outcomes.
- The Designated Professionals became full members of the Safeguarding Adults national network (SANN). Led by the NHS England national team the network has provided a weekly forum to discuss and action safeguarding issues of national and local significance.

Bradford District and Craven NHS Clinical Commissioning Group (CCG)

Awareness and Empowerment

- In response to the Covid 19 pandemic and remote working Safeguarding training has been developed and delivered by the safeguarding team to CCG staff via the virtual platform of Zoom.
- Our Named Doctor and Specialist Practitioner: Safeguarding Adults have developed and delivered a wide range of training, including the role of carers in safeguarding to GPs, Practice Safeguarding leads and GP Registrars via the virtual platform of Zoom.
- Information has been made available electronically to Primary Care staff, including guidance on virtual examination and Domestic Abuse.
- Development of and system wide sharing of a Mental Capacity Act and vaccination aide-memoire to support practice.

Prevention

- In light of Covid 19 the CCG safeguarding team have supported the assessment of the impact of numerous service changes in relation to safeguarding adults.
- We have supported the safeguarding aspect of the new carer's health check template in SystmOne for Primary Care.
- We have worked with partners to identify and respond to safeguarding issues as they emerged during the pandemic, using regular meetings and strengthening relationships.
- Regular newsletters have been circulated to Primary Care including information about Prevent and Domestic Abuse.
- We contributed to the sharing of domestic abuse information at Covid testing sites and vaccination centres.
- To support our managers we have delivered training to support them to routinely enquire about domestic abuse in return to work interviews

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Protection and Proportionality

- Our Personalised Commissioning Team has made timely applications for community deprivation of liberty cases to the Court of Protection to ensure actions and restrictions are proportionate and necessary.
- Development and delivery of Mental Capacity Act Masterclasses to provide staff across the health economy with a greater understanding of case law and application in practice.
- We have developed a strategy to support the implementation of the Liberty Protection Safeguards in collaboration with partners across the health economy.
- We have provided expert advice and support to primary care staff.

'Keeping everyone safe' in 2020/21

We have been responsive and worked in partnership across the health and social care system to support partners and seek assurance that safeguarding adults remained a priority during the pandemic.

We have strengthened relationships within the CCG and multi-agency partnerships to ensure safeguarding adults is at the centre of decision-making, including service changes as a result of the pandemic.

Partnership Effectiveness and Accountability

- The CCG successfully transitioned from 3 CCGs to 1 CCG in April 2020.
- We have successfully recruited into the posts of; the Designated Nurse: Safeguarding Adults following the retirement of the previous post-holder; the newly created Specialist Practitioner: Safeguarding Adults post and; the MCA/Liberty Protection Safeguards Lead.
- Our Health Safeguarding Adults group has provided leadership and mutual support to safeguarding adults leads across the health system.
- In light of the Covid 19 pandemic we have adapted our safeguarding and quality systems to monitor our providers and how well they are doing in protecting people from abuse and neglect.



North Yorkshire Police

Awareness and Empowerment

Key Achievements

2020/21 has been a unique year and though the difficulties of COVID are obvious, North Yorkshire Police have continued to promote internally and externally the importance of Safeguarding particularly focussing on reports of vulnerability that include:

- Domestic Abuse
- Concern for Safety and Mental Health related incidents.
- Exploitation (Adults and Children)

North Yorkshire Police have continued to work with our partners in a 'Business as usual' approach wherever possible adapting to new ways of working via advanced technology.

Prevention

Key Achievements

North Yorkshire Police recognise those victims suffering domestic abuse, exploitation and mental health episodes are particularly vulnerable and isolated under normal circumstances, the pandemic and the restrictions may have exacerbated this. North Yorkshire Police have trained an additional 68 PCSO's to carry out follow up domestic abuse visits, domestic violence disclosure training and victim safety planning during 2020 with a further 28 PCSO's being trained in 2021.

In the last year further investment has been secured enabling North Yorkshire Police to develop our safeguarding response which has included:

- An increase of Domestic Abuse Officers.
- The creation of a dedicated stalking intervention Team.
- The further recruitment of Domestic Abuse

Court Presentation officers dedicated to the applications of protective civil orders, and those introduced by the newly enacted Domestic Abuse Bill.

- Further development of Missing from Home (Adults) promoting the Herbert Protocol and the Ellam Protocol.
- Daily reviews are being completed on all crimes committed against victims aged 65 years and over to ensure all safeguarding and vulnerabilities are addressed.
- Additional resources to Develop an Adult Multi Agency Safeguarding Hub
- A dedicated Problem Solving Team based within the Partnership Hub.

North Yorkshire Police have invested in the Domestic Abuse Matters training provided by Safe lives. The training will be rolled out throughout 2021. The training is a comprehensive package starting with a three day train the trainer course to enable resilience across the force to embed within our training offer, First responder training follows, with operational staff and a Domestic Abuse champions course, concluding with a Force Health check.

Protection and Proportionality

Key Achievements

Communication to police officers and staff had been undertaken in Q4 in readiness for the new victim code which was implemented on the 1st April 2021. The new Victim Code outlines 12 overarching rights for victims that are easy to understand. The code outlines the minimum levels of support that victims of crime should expect to receive from the police and other criminal justice agencies and to make sure they have access to the best possible support when they need it. The rights are applicable to victims of all criminal offences.

The Code also includes enhanced rights to provide additional support for victims who are assessed as:

- vulnerable or intimidated
- persistently targeted
- victims of the most serious crime (including bereaved close relatives)

The Code includes the right to be offered support when a victim reports a crime to the police. This includes an initial victim needs assessment which helps identify those who are entitled to receive enhanced rights.

Partnership Effectiveness and Accountability

Key Achievements

- MARAC (Multi Agency Risk Assessment Conferences) North Yorkshire Police records show that MARAC meetings have increased annually and consequently there is a growing requirement for the support and commitment for this important part of safeguarding from our partners.
- North Yorkshire Police are actively engaged and committed to the North Yorkshire Safeguarding Adults Board.
- North Yorkshire Police are actively engaged in the coordination and participation of Safeguarding Week which has become an expected and embedded feature in the North Yorkshire Police Calendar.
- We have worked with partners to deliver webinars and workshops on Topics such as Fraud, Domestic Abuse, and County Lines

'Keeping everyone safe' in 2020/21'

North Yorkshire Police recognise the last year as an extremely difficult one for so many people. The unprecedented Public Health emergency has really highlighted the positivity and enthusiasm from our staff, Partner agencies and the Public, in helping keep our most vulnerable members of the community safe throughout this pandemic.

During the COVID pandemic North Yorkshire Police have:

- Held fortnightly Domestic Abuse tactical meetings to identify and monitor spikes/ trends early and being able to plan and respond accordingly with Partners. These meetings were initially held weekly during the first lockdown.
- Participated in the Multi Agency COVID Response meetings.
- Joined the Employers' Initiative on Domestic Abuse, along with other high-profile organisations, the aim to share best practice and improve our support to employees.
- Increased our engagement of and with the public on social media platforms to enhance visibility and reassurance during COVID restrictions.
- Introduced a variety of ways using technology to ensure staff can discuss their cases, seek advice and supervision, in order to offer the same service to the Public.
- Submitted 3120 concerns for Adults in 2020.
- The Office of the Police, Fire and Crime Commissioner has reported a 30% increase in compliments about the police during the COVID pandemic.

You can find all non-statutory partner statements on our website <https://safeguardingadults.co.uk/partner-statement>



Safeguarding Adults Reviews and Lessons Learned Review

Section 44 of the Care Act 2014 states that we must carry out a Safeguarding Adults Review if certain criteria are met.

The aim is not to apportion blame to any individual or organisation but to learn lessons where an adult, in vulnerable circumstances, had died or been seriously injured, and abuse or neglect is suspected. In the past 12 months the North Yorkshire Safeguarding Adults Board have carried out one SAR - Anne. We have also worked in partnership with another SAB to complete a SAR for a person who briefly lived in North Yorkshire. The Learning and Research group has also conducted a number of practice reviews where the statutory criteria was not met.

In addition, we have recently commissioned a further SAR. We will complete this within the coming months and the findings will be published in the NYSAB 2021/22 Annual Report.

SAR 'Anne'

As agreed with her family, the pseudonym of 'Anne' was used for this review. The review looked at the actions of the agencies involved in supporting Anne, a woman who died in 2018 due to accidental drug toxicity.

It looked specifically at the multi-agency response to Anne's needs in the period prior to her death. We thank Anne's family for their help with this review during this difficult time for them.

The report sets out 10 recommendations to the individual agencies involved and the NYSAB as a whole, all of which are accepted by the NYSAB in full and work is now underway to implement these recommendations.

In response to recommendations one and five within the report, the NYSAB has commissioned two external independent reviews.

The first of these reviews will look at the supported housing accommodation arrangements to identify risks and opportunities, with a view to influence changes to policy at regional and national level.

The second review will be undertaken to look at the Drug and Alcohol Recovery Service discharge process where existing concerns of substance misuse are present in service users.

The SAB will publish a delivery report in September 2021 which sets out what agencies have done in response to the recommendations made.

The full 'Anne' SAR report, including all recommendations, can be found here on the NYSAB website: <https://safeguardingadults.co.uk/learning-research/sar-anne/>

SAR 'Ian' and Mrs S Action Plans

During 2020/21, the NYSAB has overseen the completion of two action plans, one in relation to the Lessons Learned Review for Mrs S, and one for the Ian SAR.

Delivery reports for both were published on the NYSAB website in September 2020.

They explain the changes made in response to these reviews, including the introduction of new multi-agency policies for complaint management, improving Mental Capacity Act 2005 practice, and sharing information with other agencies.

A 7-minute briefing for SAR 'Ian' can be found in Appendix B and also on the NYSAB website along with the SAR report at <https://safeguardingadults.co.uk/learning-research/sar-ian>

The lessons learned review for Mrs S along with the Independent Health Review carried out by the CCG can be found here on our website <https://safeguardingadults.co.uk/llr-mrs-s>

All of the NYSAB's completed reviews can be read in full on our website: <https://safeguardingadults.co.uk/learning-research/nysab-learning/>

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Strategic Priorities 2021-23

The Board agreed the four following priorities for 2021-23

As it is proposed that the priorities listed 2021 – 23; there will be further opportunities to review these priorities at the Board’s development day later in the year.

i. **Reconnect with communities in North Yorkshire to raise awareness and develop strategies to address and reduce risk of abuse**

This priority’s focus remains on safeguarding being everyone’s business and engagement and communication. As the focus moves away from responding to the pandemic, the Board will also look to refresh the connection with Healthwatch, as well as build on the continuous work with the LD community, specifically looking at areas the SAB has successfully adopted and to encourage these as best practice for all partners.

There is also a commitment to making the Local Safeguarding Partnerships (LSPs) more effective and to have a stronger connection to the Board.

ii. **Ensure multi agency safeguarding policies and procedures are line with best practice and meet the needs of older people and younger vulnerable people – now and in the future**

This builds on the current priority regarding policies and procedures.

There is the possibility for a potential revisit for multi-agency working regarding adult safeguarding

Preparation for Liberty Protection Safeguards (LPS) will be part of this priority.

For accessible versions of our strategic priorities visit <https://safeguardingadults.co.uk/strategic-priorities>

iii. **Ensure a stronger partnership approach and accountability for the prevention of abuse**

This priority focuses on the effectiveness of partners’ joint working; relationships with the North Yorkshire Children Safeguarding Partnership (NYSCP) and Community Safety Partnership (CSP); relevant connections with other areas that impact on adults for example modern slavery and suicide prevention, particularly younger people and those in transition”.

The Board needs to look at better data: the sharing of intelligence, qualitative data as well as performance management.

A specific area of focus for the Board and its sub-groups will be to seek assurance about effectiveness of addiction services to adults at risk, as this has been a recurring theme from SARs and to review the recommendation around housing from the ‘Anne’ SAR.

iv. **Ensure NYSAB is able to effectively adapt and respond to wider contextual changes affecting adult safeguarding**

This includes LPS; learning from SARs; Mental Health Act (MHA) review implications; LeDeR implications; seeking opportunities to learn from others about best practice through peer review / benchmarking; as well as being connected to Integrated Care Systems; and being fully appraised and engaged in Local Government Reorganisation (LGR).

Attendance Record

Organisation	Designation	June 2019	September 2019	December 2019	March 2020
North Yorkshire County Council	Independent Chair	Y	Y	Y	Y
	Corporate Director of Health and Adult Services	Y	Y	Y	N
	Assistant Director	Y	Y	Y	Y
	Director of Public Health	N	N	Y	N
	Public Health Consultant	Y	Y	-	Y
North Yorkshire Police	Deputy Chief Constable / Assistant Chief Constable	Y	Y	Y	Y
NHS Clinical Commissioning Groups (CCGs)	Designated Professional for Safeguarding	Y	Y	Y	Y
Bradford District and Craven CCG	Designated Professional for Safeguarding	Y	Y	Y	Y
Harrogate District Foundation Trust (on behalf of Acute and Community Trusts)		Y	Y	N	Y
Tees, Esk and Wear Valleys NHS FT		Y	Y	Y	Y
Richmondshire District Council (on behalf of Borough/District Councils)		Y	Y	Y	Y
Community First Yorkshire		Y	Y	Y	N
Healthwatch		N	N	Y	N
Independent Care Group		N	Y	Y	Y
Legal Advisor to the Board		Y	N	Y	N
Probation Service		Y	Y	Y	N
Trading Standards		N	N	N	N
North Yorkshire Fire and Rescue Service		Y	Y	Y	Y

Financial Record

Funding


The NYSAB Budget is made up of contributions from the three statutory partners – the County Council, North Yorkshire Police and NHS. As well as direct funding, the NYSAB is also provided with services 'in kind' by a number of agencies.

Income 2019/20	
North Yorkshire County Council	£146,587
North Yorkshire Police	£20,000
North Yorkshire CCGs	£20,000
Total	£186,587

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Expenditure 2019/20	
Independent Chair	£9,600.00
Staffing	£168,543
Supplies and Services	£4,548
Safeguarding Adults Review	£1,944.00
Total	£186,587

Appendix A: SAR 'Ian' 7-minute briefing



Section 1 What is a Safeguarding Adults Review (SAR)?
A SAR is a multi-agency review process, which seeks to determine what relevant agencies and individuals involved could have done to have prevented harm or death from taking place. It will establish whether there are lessons to be learned and promote effective learning and improvement to prevent future deaths or serious harm happening again. A SAR should reflect the six safeguarding principles of **empowerment, prevention, proportionality, protection, partnership and accountability**

Section 2 Background
Ian was a 47 year old gentleman who had a diagnosis of Schizophrenia (unspecified) and a history of involvement with mental health services dating back to 1995. In June 2014, Ian became homeless and deterioration in his mental health, with an associated risk of suicidal thoughts, led to an admission into hospital. He was receiving care and support under the 'Care Programme Approach' (CPA) and went on to live in supported accommodation under the provisions of Section 117 aftercare. On 12th April 2017, Ian was found dead at his flat in Harrogate after taking his own life.


Section 3 Key Learning: Mental Health
There were early warning indicators during Ian's contact with services that would suggest a possible deterioration in his mental health. It is important that all agencies recognise that deterioration in an individual's mental health does not always manifest itself with a crisis episode. All agencies are to ensure that mental health training is of sufficient quality and available to all staff and included within their contractual requirements

Section 4 Key Learning: Information Sharing
It is acknowledged within the SAR that information was shared and stored differently across the agencies involved in supporting Ian. The sharing of, and access to, this information is vital when working collaboratively to provide the appropriate level of support to individuals in their services. Guidance for effective information sharing is to be followed and can be found within the Safeguarding Adults **Joint Multi-Agency Policy and Procedures and Information Sharing Agreement**.
The Joint Multi-Agency Policy and Procedures can be found [here](#)

Section 5 Key Learning: Care Programme Approach
Ian was receiving care and support under CPA. There were elements of the CPA which were not followed in line with current guidance.
Organisations with responsibility for CPA planning are to have effective systems in place to scrutinise the review processes for identification and management of risk.

Section 6 Key Learning: Professional Challenge
There is clear evidence throughout the review of a lack of professional challenge. There are many instances where records indicate staff from different organisations did not agree on a course of action but these concerns are not raised or escalated. All agencies are to be aware of when and how to use professional challenge / curiosity and have effective guidance and training to support.

Section 7 Key learning: Support planning
There was a lack of an effective support plan in place for Ian whilst he was residing within supported living accommodation. This would have supported the CPA process and given clear guidance to staff who were supporting Ian. Comprehensive support plans are to be in place for all vulnerable people who may take up residence at premises owned or managed by the Council.



For the full SAR report click here

North Yorkshire Safeguarding Adults Board

Annual Report 2020/2021

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NORTH YORKSHIRE COUNTY COUNCIL

Care and Independence Overview and Scrutiny Committee

2 December 2021

Work Programme Report

1.0 Purpose of Report

- 1.1 The committee has agreed the attached work programme (Appendix 1).
- 1.2 The report gives members the opportunity to be updated on work programme items and review the shape of the work ahead.

2.0 Background

- 2.1 The scope of this committee is defined as ‘The needs of vulnerable adults and older people and people whose independence needs to be supported by intervention from the public or voluntary sector’.

3.0 Scheduled Committee dates/Mid-Cycle Briefing dates

Committee meetings

- Thursday 10 March 2022 at 10am

Mid cycle briefing dates

- Thursday 10 February 2022 at 10am

4.0 Recommendations

- 4.1 The committee is recommended to consider the attached work programme and determine whether any further amendments should be made at this stage.

DANIEL HARRY
SCRUTINY TEAM LEADER

County Hall,
Northallerton

Author and Presenter of Report: Ray Busby

Contact Details: Tel: 01609 532655 E-mail: ray.busby@northyorks.gov.uk

24 November 2021

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Care and Independence Overview and Scrutiny Committee

Scope - The needs of vulnerable adults and older people and people whose independence needs to be supported by intervention from the public or voluntary sector

Meeting Details

Committee meetings	Thursday 2 December 2021 at 10am
	Thursday 10 March 2021 at 10am

Programme

BUSINESS FOR Thursday 2 December 2021			
Public Health Overview			Louise Wallace
Has Financial Pressures	An account of the pressures faced by the directorate and its relationship to national issues	Report and Presentation	Anton Hodge
Charging for Social Care	Revisit of impact of previous decisions regarding transport for day services and two handed care, but with general overview	Report and Presentation	Anton Hodge
Portfolio Statement	Workforce issues in the local social care market	Verbal Overview	Cllr Michael Harrison
Safeguarding	Annual report of the North Yorkshire Safeguarding Adults Board		Sue Proctor
BUSINESS FOR Thursday 10 March 2021			
All Age Autism Strategy	Update focussing on implementation within Health and Adult Services.		Chris Jones-King and Rachel Bowes
Dementia Village	Recap of business case and development	Presentation	Dale Owens
Respite/Short breaks current position	Progress on a transformational approach to short breaks	Report and Presentation	Dale Owens
Commissioned Services: The Provider perspective	Series managed dialogue/conversation with providers:	eg Wellbeing, Prevention and mental health	

		contracts, Advocacy, Dementia Support	
Support for Carers	Overview item to help assess the support provided to adult carers of adults in North Yorkshire - specifically to provide an objective view of these services and whether they provide value for money	Update on the Strategic plan for the transformation of carers offer across North Yorkshire	
Intermediate Care	Briefing on introduction of Pilot scheme		

Mid Cycle Briefings Dates –10am start

<p>Yorsexualhealth – results of procurement and possible meeting with providers Shared Lives Scheme Procurement: Information on how the procurement of services (such as residential, nursing and domiciliary care) is linked to evidence-based medium-term commissioning strategies Re-imagining Homecare Quality Pathway Reablement Local Account DPH Annual Report</p>	Thursday 10 February 2022
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